

3.4 MINIMUM SPANNING TREE: PRIM'S ALGORITHM

- A Spanning tree of an undirected graph, G is a tree formed from graph edges that connects all vertices of G .
- A Minimum Spanning tree of an undirected graph, G is a tree formed from graph edges that **connects all vertices of G at lowest cost**.
- A minimum spanning tree exists if and only if G is connected. The number of edges in the minimum spanning tree is $|V| - 1$.
- The minimum spanning tree is a tree because it is **acyclic**, it is spanning because it covers every vertex, and it is minimum because it covers with minimum cost.
- The minimum spanning tree can be created using two algorithms, that is **Prim's algorithm and Kruskal's algorithm**.

PRIM'S ALGORITHM

In this method, minimum spanning tree is constructed in **successive stages**. In each stage, one node is picked as a root and an edge is added and thus an associated vertex is added to the tree.

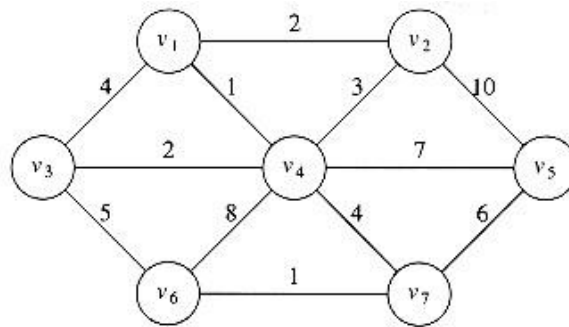
The Strategy

1. One node is picked as a root node (u) from the given connected graph.
2. At each stage choose a new vertex v from u , by considering an edge (u,v) with minimum cost among all edges from u , where u is already in the tree and v is not in the tree.
3. The prim's algorithm table is constructed with three parameters.

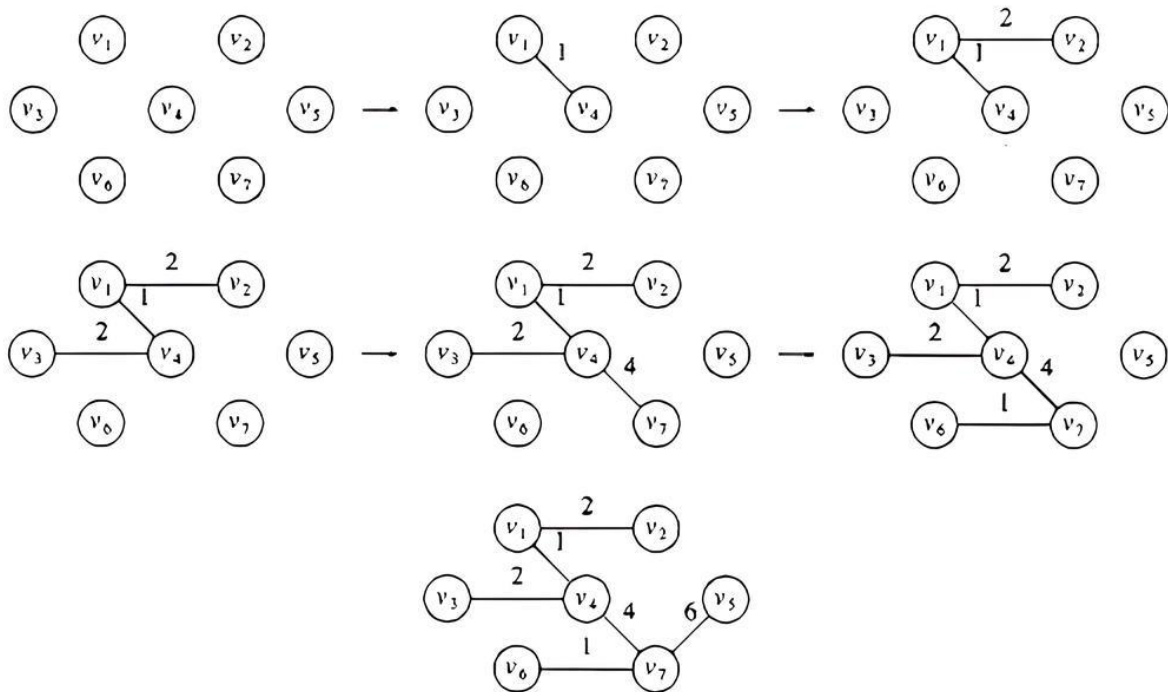
They are

- known – known vertex i.e., processed vertex is indicated by 1. Unknown vertex is indicated by zero.
 - d_v - Weight of the shortest edge connecting v to the known vertex.
 - p_v - It contains last vertex to cause a change in d_v .
4. After selecting the vertex v , the update rule is applied for each unknown w adjacent to v . The rule is $d_w = \min(d_w, C_{w,v})$.

Example:



Prim's Algorithm after each stage



Steps

- i. v1 is selected as initial node and construct initial configuration of the table.

v	Known	dv	pv
V1	0	0	0
V2	0	∞	0
V3	0	∞	0
V4	0	∞	0
V5	0	∞	0
V6	0	∞	0
V7	0	∞	0

ii. v1 is declared as known vertex. Then its adjacent vertices v2, v3, v4 are updated.

$$T[v2].dist = \min(T[v2].dist, C_{v1,v2}) = \min(\infty, 2) = 2$$

$$T[v3].dist = \min(T[v3].dist, C_{v1,v3}) = \min(\infty, 4) = 4$$

$$T[v4].dist = \min(T[v4].dist, C_{v1,v4}) = \min(\infty, 1) = 1$$

v	Known	dv	pv
V1	1	0	0
V2	0	2	V1
V3	0	4	V1
V4	0	1	V1
V5	0	∞	0
V6	0	∞	0
V7	0	∞	0

iii. Among all adjacent vertices V2, V3, V4. V1 -> V4 distance is small. So V4 is selected and declared as known vertex. Its adjacent vertices distance are updated.

- V1 is not examined because it is known vertex.
- No change in V2, because it has $dv = 2$ and the edge cost from V4 -> V2 = 3.

$$T[v3].dist = \min(T[v3].dist, C_{v4,v3}) = \min(4, 2) = 2$$

$$T[v5].dist = \min(T[v5].dist, C_{v4,v5}) = \min(\infty, 7) = 7$$

$$T[v6].dist = \min(T[v6].dist, C_{v4,v6}) = \min(\infty, 8) = 8$$

$$T[v7].dist = \min(T[v7].dist, C_{v4,v7}) = \min(\infty, 4) = 4$$

v	Known	dv	pv
V1	1	0	0
V2	0	2	V1
V3	0	2	V4

V4	1	1	V1
V5	0	7	V4
V6	0	8	V4
V7	0	4	V4
V7	0	4	V4

iv. Among all either we can select v2, or v3 whose $dv = 2$, smallest among v5, v6 and v7.

- v2 is declared as known vertex.
- Its adjacent vertices are v1, v4 and v5. v1, v4 are known vertex, no change in their dv value.

$$T[v5].dist = \min(T[v5].dist, C_{v2,v5}) = \min(7, 10) = 7$$

v	Known	dv	pv
V1	1	0	0
V2	1	2	V1
V3	0	2	V4
V4	1	1	V1
V5	0	7	V4
V6	0	8	V4
V7	0	4	V4

v. Among all vertices v3's dv value is lower so v3 is selected. v3's adjacent vertices are v1, v4 and v6.

No changes in v1 and v4.

$$T[v6].dist = \min(T[v6].dist, C_{v3,v6}) = \min(8, 5) = 5$$

v	Known	dv	pv
V1	1	0	0
V2	1	2	V1
V3	1	2	V4
V4	1	1	V1
V5	0	7	V4

V6	0	5	V3
V7	0	4	V4

vi. Among v5, v6, v7, v7's dv value is lesser, so v7 is selected. Its adjacent vertices are v4, v4, and v6. No change in v4.

$$T[v5].dist = \min(T[v5].dist, C_{v7,v5}) = \min(7, 6) = 6$$

$$T[v6].dist = \min(T[v6].dist, C_{v7,v6}) = \min(5, 1) = 1$$

v	Known	dv	pv
V1	1	0	0
V2	1	2	V1
V3	1	2	V4
V4	1	1	V1
V5	0	6	V7
V6	0	1	V7
V7	1	4	V4

vii. Among v5 and v6, v6 is declared as known vertex. v6's adjacent vertices are v3, v4, and v7, no change in dv value, all are known vertices.

v	Known	dv	pv
V1	1	0	0
V2	1	2	V1
V3	1	2	V4
V4	1	1	V1
V5	0	6	V7
V6	1	1	V7
V7	1	4	V4

viii. Finally v5 is declared as known vertex. Its adjacent vertices are v2, v4, and v7, no change in dv value, all are known vertices.

v	Known	dv	pv
V1	1	0	0
V2	1	2	V1
V3	1	2	V4
V4	1	1	V1
V5	1	6	V7
V6	1	1	V7
V7	1	4	V4

The minimum cost of spanning tree is 16.

Algorithm Analysis

The running time is $O(|V|^2)$ in case of adjacency list and $O(|E| \log |V|)$ in case of binary heap.

